

To: ASC Board
From: Chris Eskridge
Date: October 21, 2005
Re: Executive Director Annual Report

This has been a very busy six months to say the least. The bottom line is that we are financially healthy, and remain a vibrant, active professional society. There is much going on in many spheres in our organization.

1. ASC Financial Status

As noted above, we continue to be a financially stable and sound organization. As of September 30, 2005 our total assets were roughly \$1,200,000. Our income continues to flow from four primary sources - interest and dividends from our investments, Blackwell publishing company/journal library sales, meeting registration fees, and membership dues.

I have attached three financial reports which articulate our financial position in more detail:

- A. *2005 Profit and Loss Statement (3rd quarter report)* - We have realized a \$66,700 profit to date for the year. Note however, that we have collected funds for the Annual Meeting, and of course have yet to pay our bills for the meetings. We seem to be on track to show a small profit for the year, probably something in the \$10,000 - \$15,000 range.
- B. *2005 Balance Sheet (3rd quarter report)* - As noted above, our total paper assets hover in the \$1.2 million range. We are conservatively invested in mutual funds and bonds.
- C. *2005 Budget Comparison (3rd quarter report)* - We had budgeted \$600,000 for our expenses, and have spent 67 percent of that (\$402,000) through the third quarter. We had anticipated \$600,000 in income for the year and have realized \$468,000 or 78 percent of this to date. We continue to see a vigorous meeting registration flow. This will likely be the largest meeting we have ever had, and that will of course reflect positively in our bottom line for the year.

2. Division Financial Status

The ASC Divisions remain quite active, and all now carry a positive account balance. This information was given to all the Division Chairs.

- A. Corrections and Sentencing Division: current account balance - \$9,620; current membership 307.
- B. Critical Division: current account balance - \$2,750; current membership 304.

- C. International Division: current account balance - \$12,300; current membership 291.
- D. People of Color and Crime: current account balance - \$3,100; current membership 157.
- E. Women and Crime: current account balance - \$10,700; current membership 359.

3. *Proposed 2005 Budget (attached)*

I estimate that we will be generating something in the range of \$675,000, and spending roughly \$745,000 for the coming year. I am projecting a loss for the year of \$70,000 due to:

- A. Additional personnel costs due to the Columbus office personnel transition matter. We have been saving for the proverbial rainy day, and with Sarah's retirement, that rainy day has come to some extent.
- B. The high costs of the Los Angeles meetings. While I believe that we will show a profit for the meetings, the cost of the buses (\$30,000), and the steep costs for audio-visual equipment and meeting room furnishings will not allow us to realize the kind of profit we need to send the overall ASC budget into the black for the year.

We will obviously look for places to cut our expenses, but it does appear at this point that we will need to draw upon some of our reserves to get through the year. This will not be a long-term issue as the Atlanta meetings will not carry the high price-tag that we will encumber in Los Angeles, nor (hopefully) will we have an office personnel transition to deal with. In an optimistic context, it is possible that our investment income could realize a higher rate of return and compensate for some of the estimated \$70,000 loss.

4. *Web Page*

We continue to update our web page, a rather significant undertaking. I am proud to say that if you go to Google and type in *criminology*, the ASC page comes up as the #1 entry. We started that site from scratch in (we think) 1988. We have some new features this year. All available copies of our association newsletter are now on the web, some dating back as far as 1954 when we were not even called ASC nor was the newsletter entitled, *The Criminologist*. A lead article author index has been added as well, with the articles linked to *The Criminologist* issues in which they appeared. We have scanned in roughly 15 years of the annual meeting program summaries. We have also scanned in pictures from previous annual meetings and have placed them on the web. We now have a current members page (in addition to the membership directory which includes folks who may not have renewed in the last year or so), and a page that lists meeting registrants, which should help us greatly at the meeting registration counter. We have maintained the other pages as well of course, ie., the membership

directory, conferences and workshop page, call for papers, abstracts of *Criminology* and *CPP*, etc. Again, this is a major effort and absorbs a significant amount of time and effort on our part.

5. *Policy and Procedures Manual*

We have updated the Policy and Procedures manual as per the decisions made by the Board at the Mid-year meetings. We have the revised version in hard copy form, as well as on the web.

6. *Personnel*

- A. Sue Beelman, our bookkeeper is currently paid \$34,201 per year for a contractual 32 hour work week. Sue is very task oriented and goes the extra mile to complete what needs to be done regardless of the clock. Her work has been complicated of late with the addition of a new journal and all of the accounting requirements involved in managing the fiscal aspects of our NIJ grant. Sue receives very high marks from our auditor, who regularly comments on her precision and attention to detail. She provides me with any piece of financial information that I need in a most timely fashion, and is an invaluable part of our central office team. I recommend a 3 percent pay raise. This would increase her salary to \$35,227.
- B. Sarah completes her 30th year with ASC in February. Her current salary is \$64,947. As we all know, she is deeply devoted to ASC. As you also have likely heard, she is ill and is not going to be attending the Toronto meetings. She will be stepping down in the near future, and we will be hiring someone to replace her. I will be traveling to Columbus in December to visit with her regarding this transition. I will, of course, work closely with Gary as we make this adjustment. I am scheduled to teach one class next term. My Department, at no cost to ASC, has hired an individual to team-teach my course with me. This will free me up to be able to spend a fair amount of time in Columbus so that I may assist with this very important personnel shift. I do envision her continuing to work full-time for several months. I recommend a 3 percent pay increase. This would increase her salary to \$66,895. I recommend that we keep her on retainer at a set rate per month for perhaps as much as a year after she leaves our full-time employment, assuming she is willing to do so of course.
- C. Please be aware that we hire Rita Hollingshead on a part-time basis to help us in Columbus during high workload periods (stuff meeting packets, newsletter mailings, etc). Rita (and her husband who volunteers) will be helping out at registration in Toronto. Rita is paid \$13 per hour. We also use the services of two students, Ashley Olson and Nicole Anderson in the Lincoln office to help primarily with web page items (membership directory, area of expertise, journal abstracts).

7. Annual Meeting Preparations

- A. Toronto (2005) - We visited Toronto in the spring. We worked out the final details regarding room usage, made audio-visual arrangements, got the music equipment ordered for the dance, meet with the drayage folks and went over the exhibit hall set-up and logistics, discussed freight/shipping logistics, etc., etc., etc. We have sufficient meeting and sleeping rooms and have mapped out what will be a great meeting. Do remember to come to the Ice Cream Social which will be held on Thursday from 2:00 to 3:30 in the Exhibit Hall. As usual, you are asked to serve ice cream to the members. We have an apron for each member of the Board.
- B. Los Angeles (2006) - We visited Los Angeles last spring. We had a very constructive visit and made excellent progress. As you are aware, the Mid-Year Board Meeting will be held in Los Angeles in April. The program team will be arriving a day early next April to do a second walk-thru. A proposed budget for the Los Angeles meeting is attached, based on an attendance estimate of 2,400. This is going to be a challenge as the meetings will be held in a convention center that is quite some distance from our hotels. We will be hiring buses to transport our people from the hotels to the LA Convention Center and between hotels. This will cost us quite a bit of money and will almost certainly leave us in the red. In addition, we are going to have to absorb a much higher audio-visual and furniture cost (chairs, podiums, tables) than we are use to. Hotels do not charge us for the use of these items, but the LA Convention Center does. I am projecting a \$5,000 profit at this point.
- C. Atlanta (2007) - Michael, his program chairs (Candace Kruttschnitt and Ross Macmillan), and I will be traveling to Atlanta in late February to do a *first cut* for this meeting.

8. Columbus Visits

I visited Columbus in June and again in September and worked with Sarah and Sue. I spent a great deal of time getting the journals and newsletters organized in the office backroom, examined our financial records, and continued to work on the archives. I will be returning in December, as noted above, and will be visiting with Sarah about her retirement, and establishing a time-line for this transition.

9. Mentoring Program

I have had a number of long and fruitful visits with Bonnie Berry, the long-time head of the Mentoring Program. As we know, this program has been operating somewhat off of the radar screen for the past ten plus years. I suggest that we make this a sub-committee of the Student Affairs Committee. The head of this sub-committee would report to the Student Affairs Committee, which in turn submits a report to the Board. The report from the Student Affairs Committee would include a section from the Mentoring Program, and that would include a recommendation for the Mentor of the

Year. _ The Board would then vote to accept or reject the nominee, like any other ASC award. _ Thus institutionalized, it could be included in the Wednesday evening award event. _ I also suggest that we set up a budget for this program. I have asked Bonnie to give us a proposed budget, and I will bring that to the Tuesday Board Meeting.

10. Undergraduate Minority Fellowship Program

We need to re-visit the Undergraduate Minority Fellowship Program, as I do not believe it is achieving what we had planned. I do defer to Todd Clear on this, but we clearly need to review this program and ascertain if we are accomplishing what we want. Though this is but one episode, I would like the Board to know of a somewhat troublesome exchange I had with one of the mentors who clearly views this as an entitlement program. The student was having some serious personal problems and needed money badly. The mentor asked if we would please advance the money to the student right away, even though their student would not be attending the ASC meetings nor preparing/presenting a paper, part of the components of the program. This is a very expensive program; \$10,000 to four students spread out over a two year period, plus up to \$1,500 in hotel and airfare support. We had few qualified applicants for this year. I believe we could use our funds better elsewhere. We tried, it was an experiment, and we need to consider scrapping the program and moving on.

11. International Activities

I have an on-going and growing level of exchange with colleagues from around the world. I am actively working with a number of folks from Latin America to help them get a society of criminology started. Also working with university and government officials in Turkey and Thailand to do the same, as well as with officials from ASEAN. Exchanging information and organizational documents with the BSC, the ESC, the ANZSOC, the Chinese Society of Criminology, the Hong Kong Society of Criminology, the Venezuelan Criminology Group, etc. It is a very exciting time.

12. United Nations Representative

I have spent considerable time working with the current DIC Chair (Rosemary Barberet), the incoming DIC Chair (Cindy Smith), and with our incoming overall ASC-United Nations coordinator (Ineke Marshall). All four of us will be attending both a DIC officer=s meeting and the general DIC Business Meeting in Toronto. We will explain to the DIC membership that they have been successful in enhancing this activity, and that an overall ASC coordinator position has now been created and identified. I will also reiterate that there is no reimbursement forthcoming from ASC for participating in UN activities. As per the decision made last April, Ineke will prepare a report for the Board on a regular basis, and will coordinate with those involved in United Nations activities and will prepare an occasional article for *The Criminologist*.

13. Our International Division and the U.N. Representation Matter

As implied in item #12, I have spent considerable time and effort trying to work things out with respect to the interface between the International Division and our

organizational involvement in the United Nations arena. They are generally happy to know that this initiative of theirs has grown and captured the attention of the ASC Board and to know that it is now being institutionalized within the parent organization. This means, however, that the DIC is going to lose some control over this venture. Bottom line is that this is not sitting well with some.

There are two primary areas of conflict between the DIC and its By-Laws, and ASC Board policies.

- A. As some in the DIC see it, persons who attend any United Nations meeting as an American Society of Criminology representative must have been recommended to the ASC Board by the DIC. In other words, the DIC recommends a list of folks, and the ASC Executive Board, working off that list and *only* that list, approves (or disapproves as the case may be). I have indicated to the DIC leadership that this is not in line with ASC Executive Board policy.

There are certainly some who might get "appointed" in such a fashion (off of a DIC recommended list), but individuals can also get an "official appointment" from the ASC Executive Board and/or its U.N. Coordinator (which again will be Ineke Marshall as of November) and can attend United Nations meetings as ASC "representatives," without having to get a DIC recommendation. The ASC Board is not restricted in approving *only* those persons recommended by the DIC. In fact, that was part of the reason for going with the overall ASC-United Nations Coordinator model, so that United Nations participation can be expanded and come from all ASC Divisions, and from all ASC members, and not just be limited to or focused within the DIC.

The DIC has never had a monopoly on presenting names de jure, but de facto they have, and that is perhaps where part of the problem lies. They have had some control, and in institutionalizing this activity, that control now is going to float upstairs to the parent organization. Be that as it may, the over-riding principle here is that those who go to the United Nations meetings as ASC representatives are "representing" ASC, not just the DIC, and consequently they can (and should) come from every corner of the ASC membership. As suggested, I have been working with the DIC leadership, and I am confident that we will get this worked out. My *take* is that the DIC leadership in fact is going to be just fine with this, but there may be a few disgruntled individuals within the DIC ranks.

- B. The DIC By-Laws indicate that the Main Vienna Representative will be chosen by the delegation who attends the Vienna meetings. At our last meeting, the Board decided that Ineke Marshall, as our overall U.N. representative, would have the responsibility of identifying the main representatives for each venue (Alliance meetings, ISPAC meetings, Vienna, New York and Geneva meetings, Crime Congresses), subject ultimately to ASC Executive Board approval of course. I

think this is a minor matter that can be easily handled per my comment above...DIC leadership is going to be fine with this, but there may be some disgruntled individuals.

I will work very closely with outgoing DIC Chair Rosemary Barberet these next few weeks, and with Cindy Smith as the incoming DIC Chair, and with the new DIC Board (Barbara Stolz, Mitchel Roth, Bonnie Fisher, Bill McDonald, Richard Bennett, and Paul Friday). The idea is to enhance and grow and develop, something we all want. While institutionalizing this activity takes some of the control away from the DIC, actual participation on the part of the DIC folks will in no way be diminished or diluted simply because we now have an overall ASC coordinator, and because others (non-DIC types) may now be participating. If I am off with respect to my assessment and plans regarding these matters, you need to let me know.

14. Stockholm Prize in Criminology

The ASC Board has been asked to consider establishing a procedure to annually nominate an individual for the Stockholm Prize. The deadline for the 2007 award in January 30, 2006. There was an e-mail exchange with respect to this matter last August. We were unable to reach a consensus, and consequently decided to table the issue until we could meet as a Board in November. A synopsis of the Stockholm Prize appears below. I need to inform the Board that I am a member of the Stockholm Prize Steering Committee, and will recuse myself from voting on this issue. I do wish to participate in the discussion however, unless/until you deem it appropriate that I leave the room. My wish is that we establish a committee whose charge is to nominate an individual for this award. This committee would report to the Board, who would have the option to confirm or reject the nominee. In other words, follow the same model that we have in place for all ASC awards.

The Stockholm Prize in Criminology

The Swedish Ministry of Justice has established an international prize in the field of criminology. The prize will be awarded for outstanding achievements in criminological research or the application of research results by practitioners for the reduction of crime and the advancement of human rights. The prize will be awarded in Stockholm in June of each year, with a scientific meeting held in conjunction with the presentation. The prize selection will be made by an independent international jury. The secretariat will be housed within the Swedish Government.

The objectives of the prize are to promote the development of:

1. more effective public policies for dealing with criminal offenders,
2. greater knowledge of alternative crime prevention strategies inside and outside the judicial system,
3. policies for helping the victims of crime,
4. better ways to reduce the global problem of illegal or abusive practices that may

occur in the administration of justice.

The prize will advance the capacity of criminology to accomplish these objectives by offering:

1. a yearly opportunity to highlight criminological issues,
2. a way to increase international cooperation in the field of criminology,
3. increased publicity and awareness of criminology,
4. increased interest in undertaking research and other work in criminology.

More information regarding the prize can be found at www.criminologyprize.com

Jury members for the 2006 Stockholm Prize in Criminology:

Lolita Aniyar de Castro - Venezuela

David Farrington - UK

Katlin Genczel - Hungary

Peter Grabosky - Australia

Hans-Jurgen Kerner - Germany

Tiyanjana Maluwa - South Africa

Peter Neyround - UK

Joan Petersilia - USA

Jerzy Sarnecki - Sweden

Lawrence Sherman - USA

Hiroshi Tsutomi - Japan

**PROPOSED BUDGET FOR THE
2006 LOS ANGELES MEETINGS**

Income:

Program Book Sales	200.00
Registration Income	240,000.00
Total Income:	\$240,200.00

Expenses:

Audio Visual	50,000.00
Buses	30,000.00
Drayage	12,000.00
Extra Help/Wages	5,000.00
Furnishings	7,000.00
Meals (Staff and Registration Help)	1,500.00
Postage	5,500.00
Printing	10,000.00
Programs and Meeting Packets	25,000.00
Receptions	
Opening	20,000.00
Presidential	25,000.00
Wine and Cheese	10,000.00
Brunch	12,000.00
Shipping	4,000.00
Site Visit Travel	2,000.00
Supplies	12,500.00
Telephone	500.00
Travel	3,000.00
Total Expenses:	\$235,000.00

PROPOSED 2006 ASC BUDGET

INCOME:

Advertising/Marketing	\$ 40,000
Annual Meeting	240,200
<i>Criminologist</i>	20,000
<i>Criminology/CPP</i>	125,000
Dues	190,000
Employment Exchange	8,000
Executive Director	4,000
Investment Income	30,000
Minority Fellowship-Grad	6,000
Reprints	2,000
Royalties	<u>10,000</u>
TOTAL	\$680,000

EXPENSES:

Advertising/Marketing	\$ 2,000
Affiliations	8,000
Annual Meeting	235,000
Awards	3,000
Committees	1,000
<i>Criminologist</i>	38,000
<i>Criminology</i>	20,000
<i>Criminology & Public Policy</i>	20,000
Employment Exchange	3,000
Equipment Expense	15,000
Executive Board	10,000
Executive Director Office	75,000
International Initiatives	15,000
Minority Fellowship-Grad	20,000
Minority Fellowship-Undergrad	20,000
Misc. Expenses	10,000
Office Expenses	50,000
On-Line Program	14,000
Personnel	165,000
President Secretary Support	3,000
Professional Fees	5,000
Site Selection	2,000
Taxes	<u>8,000</u>
TOTAL	\$745,000